3611 CM



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/085,454

Applicant(s):

David NELMS, Betty SCHICK, Kim WILSON and Roger C.

HOCHSCHILD

For:

NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE

Confirmation No.:

1815

Customer No.:

26116

Docket No.:

11677/00801 (DSC-7A)

Filed:

February 27, 2002

Group Art Unit:

3611

Examiner:

Cassandra Hope Davis

I hereby certify that this correspondence is being sent via facsimile to: (703) 872-9306, ATTN: Office of Petitions, on

July 27, 2005 Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered

Representative

Signature

July 27, 2005

Date of Signature

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

THIRD RENEWED PETITION

TO CLAIM BENEFIT UNDER 35 U.S.C. § 120 OF A PRIOR COPENDING NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.78(A)(3)

The Applicants hereby submit this third renewed petition under 37 CFR 1.78(a)(3). This renewed Petition is filed in response to the Decision on Petition Under 37 CFR § 1.78(a)(3) mailed July 1, 2005. In the Decision, the prior petitions were dismissed for failure to comply with the requirement of 37 CFR 1.78(a)(2)(i) that a nonprovisional application claiming the benefit of one or more prior-filed copending nonprovisional applications must contain or be amended to contain a reference to each such prior-filed applications, identifying it by application number (consisting of the series code and serial number) and indicating the relationship of the applications.

The Second Renewed Petition was denied in a Decision on Petition dated July 1, 2005. In the Decision on Petition, it is stated that "a closer review of the two applications in question, application No. 10/085,454 and application No. 29/133,861, reveals that the two applications do not have an inventor in common. The inventors for application no. 10/085,454 are: Nelms, Schick, and Wilson. The inventor for application no. 29/133,861 is Hochschild." This is incorrect. Attached is a copy of the Amendment dated November 11, 2002, which added Hochschild as an inventor to application No. 10/085,454.

As stated in the Second Renewed Petition, the prior Amendment filed May 4, 2004, failed to state the relationship of Application No. 29/133,861, filed December 8, 2000, to the instant application. The first and second substitute amendments erroneously implied that the benefit of U.S. Provisional Application Serial No. 60/306,743 was being claimed under 35 U.S.C. §120. A third substitute Amendment is being submitted concurrently herewith. In the Third Substitute Amendment, the amendment to the specification now states that "This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application Serial No. 60/306,743, filed July 20, 2001, which is incorporated herein by reference. This application also claims the benefit under 35 U.S.C. §120 of and is a continuation-in-part of Application Serial No. 29/133,861, filed December 8, 2000, now issued U.S. Patent No. D457,556." The only revisions to the amendment filed May 4, 2004, are these references to the prior applications and an explicit statement that new claims 50-52 are within the elected species of this application.

Applicants submit herewith copies of documents previously filed with the U.S. Patent and Trademark Office, including (1) an Amendment, Request and Fee To Add Original Erroneously Not Named Inventor in Non-Provisional Application Under 37 CFR § 1.48(a), having a mailing date of November 11, 2002; (2) Statement of Applicants in Support of Amendment To Correct Inventorship Under 37 CFR § 1.48(a); (3) Assent of Assignee to Correction and Addition of Inventors; (4) a true and correct copy of the original signed Declaration for Patent Application signed by inventor/applicants David W. NELMS, Betty M. SCHICK, Kimberly S. WILSON, and Roger C. HOCHSCHILD.

Because the Decision on Petition was based on an inaccuracy, Applicants hereby renew their petition in accordance with 37 C.F.R. § 1.78(a)(3) to claim the benefit, for this application,

under 35 U.S.C. § 120, of prior copending application no. 29/133,861, filed December 8, 2000.

Applicants request granting of this Petition to claim the benefit of the prior copending

application. Applicants state that the entire delay between the date the claim for the benefit of

the earlier application was due under 37 C.F.R. § 1.78(a)(2)(ii) and the date this claim is filed

was unintentional.

Applicants previously submitted a check in the amount of \$1,280.00 for the surcharge fee

required by 37 C.F.R. § 1.55(c)(1). Any fee required by this document other than the issue fee,

and not submitted herewith should be charged to Sidley Austin Brown & Wood LLP's Deposit

Account No. 18-1260. Any refund should be credited to the same account.

If an extension of time is required to enable this document to be timely filed and there is

no separate Petition for Extension of Time filed herewith, this document is to be construed as

also constituting a Petition for Extension of Time Under 37 C.F.R. § 1.136(a) for a period of

time sufficient to enable this document to be timely filed.

Any other fee required for such Petition for Extension of Time and any other fee required

by this document pursuant to 37 C.F.R. §§ 1.16 and 1.17, other than the issue fee, and not

submitted herewith should be charged to Sidley Austin Brown & Wood LLP's Deposit Account

No. 18-1260. Any refund should be credited to the same account.

Respectfully submitted,

By:

Douglas A. Sorensen

Registration No. 31,570

Attorney for Applicant

DAS:

SIDLEY AUSTIN BROWN & WOOD LLP

717 N. Harwood, Suite 3400

Dallas, Texas 75201

Direct: (214) 981-3482

Main:

(214) 981-3300

Facsimile: (214) 981-3400

May 20, 2005

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Hugh A. Abrams, Reg. No. 31,937

Name of Applicant, Assignee or
Registered Representative

Hogh A. Amans

Our File No. DSC-7A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:)
Dav	id W. Nelms et al.)
Serial	No. 10/085,454)
Filing	Date: February 27, 2002)
For:	NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE)

TRANSMITTAL FORM

Honorable Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicants submit the following documents herewith for filing in the above-identified application:

1. Amendment, Request and Fee to Add Original Erroneously Not Named Inventor in Non-Provisional Application under 37 CFR § 1.48(a), with attached check in the amount of \$130.00 for the fee required by 37 CFR § 1.17(i).

2. Statement of Applicants in Support of Amendment to Correct Inventorship Under 37 CFR § 1.48(a).

3. Assent of Assignee to Correction and Addition of Inventors with attached Statement under 37 CFR § 3.73(b).

4. Declaration for Patent Application, executed by all inventors.

5. Petition to Claim Benefit Under 35 U.S.C. § 120 of a Prior Copending Nonprovisional Application Under 37 CFR § 1.78(a)(3), with attached check in the amount of \$1280.00 for the fee required by 37 CFR § 1.17(t).

6. Supplemental Information Disclosure Statement and Form PTO 1449A and copies of the cited references.

7. Stamped Return Postcard listing the above items.

The Commissioner is authorized charge any additional fees necessitated by this filing to Deposit Account No. 18-1260.

Dated: November 11, 2002

Respectfully submitted,

Hugh A. Abrams

Reg. No. 31,937

Attorney for Applicants

Sidley Austin Brown & Wood Bank One Plaza 10 S. Dearborn Street Chicago, IL 60603 (312) 853-7017

1 Amann

Address all correspondence to:

Docket Administrator SIDLEY AUSTIN BROWN & WOOD LLP 717 North Harwood, Suite 3400 Dallas, Texas 75201-6507 (214) 981-3300 (Main) (214) 981-3400 (Facsimile)

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I hereby certify that this correspondence is being	
deposited with the United States Postal Service	
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envelope addressed to: Commissioner for	
Patents, Washington, D.C. 20231 on	
11/11/02	
Date of Deposit	
Hugh A. Abrams, Reg. No. 31,937 Name of Applicant, Assignee or Registered Representative	
thich A. Amany	
Signature	
	Our File No. DSC-7A
IN THE UNITED STATES PATE	NT AND TRADEMARK OFFICE
In re Application of:)
David W. Nelms et al.)
Serial No. 10/085,454)

In re	Application of:)
Dav	id W. Nelms et al.)
Serial	No. 10/085,454)
Filing	Date: February 27, 2002)
For:	NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE)

AMENDMENT, REQUEST AND FEE TO ADD ORIGINAL ERRONEOUSLY NOT NAMED INVENTOR IN NON-PROVISIONAL APPLICATION UNDER . 37 C.F.R. § 1.48(a)

Honorable Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This amendment and request is to correct the incorrect original naming of the inventors in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on May 27, 2002.

Please add the following previously unnamed person as inventor of this

application: Roger C. Hochschild

Filed concurrently herewith is a statement from each person being added as an inventor that the error in inventorship occurred without deceptive intention on his part. 37 C.F.R. § 1.48(a)(1). Also filed concurrently herewith is a declaration by each of the actual inventors as required by 37 C.F.R. § 1.63. Also filed concurrently herewith is written assent of the assignee.

Enclosed is a check in the amount of \$130.00 for the fee required by 37 C.F.R. § 1.17(i). The Commissioner is authorized charge any additional fees necessitated by this filing to Deposit Account No. 18-1260.

Dated: November 11, 2002

Respectfully submitted,

Hugh A. Abrams Reg. No. 31,937

Attorney for Applicants

Sidley Austin Brown & Wood Bank One Plaza 10 S. Dearborn Street Chicago, IL 60603 (312) 853-7017

Address all correspondence to:

Docket Administrator SIDLEY AUSTIN BROWN & WOOD LLP 717 North Harwood, Suite 3400 Dallas, Texas 75201-6507 (214) 981-3300 (Main) (214) 981-3400 (Facsimile)

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with sufficient postage as first class mail in an	
envelope addressed to: Commissioner for	
Patents, Washington, D.C. 20231 on	
11/11/02_	
Date of Deposit	
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Hugh A. Abrams, Reg. No. 31, 937 Name of Applicant, Assignee or	
Registered Representative	
14. A. A. Mag yan .	
Signature	
org. mean	Our File No. DSC-7A
IN THE UNITED STATES PATENT AND TRADEMARI	K OFFICE

In re A	Application of:)
David	W. Nelms et al.)
Serial	No. 10/085,454)
Filing	Date: February 27, 2002)
For:	NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE)

STATEMENT OF APPLICANTS IN SUPPORT OF AMENDMENT TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Honorable Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This statement of the applicants is being submitted in support of the amendment and request to correct the original naming of the inventors. In support of the amendment, the applicants state as follows:

- 1. A non-provisional application for patent entitled "Non-Rectangular Shaped Credit Card With Case," was filed on February 27, 2002. The application has been assigned U.S. Serial No. 10/085,454.
- 2. U.S. Serial No. 10/085,454, as filed, named David W. Nelms, Betty M. Schick and Kimberly S. Wilson (hereinafter the "Named Inventors") as inventors of the subject matter disclosed and claimed therein.
- 3. The subject matter of Serial No. 10/085,454, was the product of a joint development effort of the Named Inventors, who are employees of assignee Discover Financial Services, Inc., in Riverwoods, Illinois. That joint development effort also involved the contributions of Roger C. Hochschild, who was employed by Discover Financial Services, Inc. at the Riverwoods facility until March 29, 2001. Thereafter, Roger Hochschild was transferred to the parent company of assignee Discover Financial Services, Inc., and subsequently relocated to New York City, New York in June, 2001.
- 4. At the time the referenced patent application was filed on February 27, 2002, Mr. Hochschild was no longer working with the Named Inventors in Riverwoods, Illinois. On information and belief, the Named Inventors and Roger C. Hochschild state that Roger C. Hochschild had no knowledge of the referenced patent application until after it was filed on February 27, 2002. The failure to name Roger C. Hochschild as an inventor was without deceptive intent on the part of the Named Inventors and without deceptive intent on the part of Roger C. Hochschild.
- 5. After the referenced application was filed on February 27, 2002, the Named Inventors understood that Roger C. Hochschild should be named as an inventor of the subject matter disclosed and claimed in the referenced application and that the referenced

application should be amended to name Roger C. Hochschild as an inventor. The Named Inventors have been diligent in supporting the preparation and filing of the necessary amendment to correct inventorship.

6. The Named Inventors and Roger C. Hochschild individually declare that all of the statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that all statements made herein are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing

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			_		

Date: 10/31/02

David W. Nelms

therefrom.

Date: 10/31/02

Kimberly Wilso

len Date: 10/31/02

Kimberly S. Wilson

Date: 11-03-02

Roger C. Hochschild

Date of Deposit

Hugh A. Abrams, Reg. No. 31.937

Name of Applicant, Assignee or Registered Representative

Hygh A. Ahmana

Signature

Our File No. DSC-7A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:)
Davie	d W. Nelms et al.)
Serial	No. 10/085,454)
Filing	Date: February 27, 2002)
For:	NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE)

ASSENT OF ASSIGNEE TO CORRECTION AND ADDITION OF INVENTORS

Honorable Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Discover Financial Service, Inc., 2500 Lake Cook Road, Riverwoods, Illinois 60015, which is assignee by virtue of an assignment recorded on June 5, 2002, at Reel 012965, Frame 0679, hereby assents to the correction of inventorship filed herewith. A statement under 37 C.F.R. § 3.73(b) is attached.

(print name of person authorized to sign



PTO/SB/96 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMS control number.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Discover Financial Services, Inc.
Application No./Patent No.: 10/085,454 Filed/Issue Date: February 27, 2002
Entitled: Non-Rectangular Shaped Credit Card With Case
Discour Financial Services, Inc. , a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
and and Almah in in.
states that it is: 1. 🔀 the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. [*An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Ree012965, Frame 0079, or for which a copy thereof is attached.
OR
B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
2. From:To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From:To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
[] Additional documents in the chain of title are listed on a supplemental sheet.
[] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
11/8/02 Betty M. Schick
Date Typerior printed name
Julia // Signature.
Vice President

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled NON-RECTANGULAR SHAPED CREDIT CARD WITH CASE the specification of which (check one): is attached hereto; was filed on February 27, 2002, as Application Serial No, and was amended on (or amended through) (if applicable) (and we hereby authorized our attorney,, Hugh Abrams, to here insert the filing date and serial number of said application, as soon as it is known, Filed, Serial No). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of					
		tificate listed below and have also identified below any foreign a filing date before that of the application on which priority is			
Prior Foreign Ap	plication(s)	Priority Claimed			
	_	YesNo			
(Number) (Country) (D	Day/Month/Year	Filed)			
and, insofar as the subject matter of each application in the manner provided by the to disclose material information as defined to the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the manner provided by the subject matter of each application in the subj	of the claims on the first paragraphed in Title 37, C	tates Code, § 120 of any United States application(s) listed below if this application is not disclosed in the prior United States h of Title 35, United States Code, § 112, I acknowledge the duty Code of Federal Regulations, § 1.56(a) which occurred between r PCT international filing date of this application:			
29/133,861	f 2/08/00	Patented			
		(Status: Patented, Pending or Abandoned)			
I hereby claim the benefit under Tiapplication(s) listed below:	tle 35, United S	tates Code, § 119(e) of any United States provisional			
60/306,743	07/20/01	Pending			
		(Status: Patented, Pending or Abandoned)			

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Attorney	Reg. No.	Telephone No.
Hugh A. Abrams	31,937	312-853-7017
Richard A. Cederoth	31,336	312-853-7026
Douglas I. Lewis	39,748	312-853-4169

As named inventors, we hereby also appoint the practitioners associated with Customer Number 26116 as my/our attorneys or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number.

Customer Number 26116

26116

Direct Telephone Calls to:

Hugh A. Abrams

Sidley Austin Brown & Wood

Bank One Plaza

10 South Dearborn Street Chicago, Illinois 60603 Telephone: (312) 853-7017 Facsimile: (312) 853-7017

Direct Correspondence to:

Customer Number 26116

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Name of First or Sole Inventor:	Citizenship:	
DAVID W. NELMS	United States	
Residence Address-Street:	POST OFFICE Address-Street	
620 South Broadmore Drive	620 South Broadmore Drive	
City, State (Zip):	City, State (Zip):	
Lake Forest, IL 60045	Lake Forest, IL 60045	
Country:	Country:	
United States	United States	
Date: /0 /31 /0 C	Signature:	
Name of Second Inventor:	Citizenship:	
BETTY M. SCHICK	United States	
Residence Address-Street:	POST OFFICE Address-Street	
38924 N. Cedar Valley Drive	38924 N. Cedar Valley Drive	
City, State (Zip):	City, State (Zip):	
Lake Villa, IL 60046	Lake Villa, IL 60046	
. Country:	Country:	
United States	United States	
Date:	Signature:	

Name of Third Inventor:	Citizenship:
KIMBERLY S. WILSON	United States
Residence Address-Street:	POST OFFICE Address-Street
916 Judson Avenue	916 Judson Avenue
City, State (Zip):	City, State (Zip):
Highland Park, IL 60035	Highland Park, IL 6035
Country:	Country:
United States	United States
Date: $ \frac{10 31 02}{}$	Signature: 1 Simble by Welster
Name of Fourth Inventor:	Citizenship:
ROGER C. HOCHSCHILD	United States
Residence Address-Street:	POST OFFICE Address-Street
7 Evergreen Lane	7 Evergreen Lane
City, State (Zip):	City, State (Zip):
Larchmont, New York 10538	Larchmont, New York 10538
Country:	Country:
United States	United States
Date:	Signature: